I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 814073526 US, on the date shown below in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 29, 2006

Signature: (Grace 40)

Docket No.: 511582003500

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Tre Patent Application of:

Mary FARIS et al.

Application No.: 09/809,638

Confirmation No.: 5083

Filed: March 14, 2001

Art Unit: 1643

For: 125P5C8: A TISSUE SPECIFIC PROTEIN

Examiner: A. Harris

HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

#### RENEWED PETITION UNDER RULE 37 CFR §1.183

MS AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §1.183, assignee and real-party-in-interest, Agensys, Inc. and co-inventors Pia M. CHALLITA-EID, Daniel E.H. AFAR, Arthur B. RAITANO, and Aya JAKOBOVITS respectfully state that co-inventor Mary FARIS refuses to participate in the prosecution of the present case by signing the proffered Declaration under 37 C.F.R. § 1.131, and requests that the Declaration be accepted without the signature of one of the co-inventors, Mary FARIS.

The pending claims and Declaration under 37 C.F.R. § 1.131 were presented to Mary FARIS for review and execution. Inventor FARIS refused to execute the papers. Below is a brief description of attempts made to contact Mary Faris regarding the Declaration.

1. On January 27, 2006 the Declaration was sent to her via UPS (copy attached as Exhibit A). UPS on-line tracking details indicates that this package was delivered on January 31, 2006 (copy attached as Exhibit B).

- 2. On February 6, 2006 a telephone message was left at Mary FARIS' residence regarding the Declaration sent to her on January 27, 2006 via UPS. She was asked to return the call and to state status of execution.
- 3. On February 7, 2006 a second attempt to contact inventor FARIS via telephone was made. Because no one answered, a message was left requesting inventor FARIS to return the call.
- 4. On February 9, 2006, a third attempt to contact inventor FARIS via telephone was made. Again, no one answered and another message was left requesting inventor FARIS to return the call.
- 5. On February 13, 2006, a fourth telephonic attempt to contact inventor FARIS was made. Again, no one answered and a fourth message was left requesting inventor FARIS to return the call.
- 6. On February 14, 2005, a second copy of the package, which enclosed the Declaration, previously sent on January 27, 2006 via UPS, was sent again via UPS to inventor FARIS (copies attached as Exhibit C). UPS on-line tracking details indicates that this package was delivered on February 15, 2006 (copy attached as Exhibit D).
- 7. On February 23, 2006, a fifth telephonic message was left requesting inventor FARIS to confirm receipt of the package delivered by UPS on February 15, 2006.
- 8. In addition, attached as Exhibit E is a collection of e-mails documenting the telephonic attempts to contact inventor FARIS. Attached as Exhibit F is a copy of the Assignment signed by all inventors including Mary FARIS and recorded with the USPTO. Attached as Exhibit

Application No.: 09/809,638 3 Docket No.: 511582003500

G, is a copy of the original Declaration as it was filed and signed by all inventors including Mary FARIS.

Mary FARIS' last known residential address and telephone number is:

2538 Almaden Court Los Angeles, California 90077 Residence telephone: (310) 859-8508

This also is believed to be her current residential address, and telephone number.

Kindly accept this petition under 37 CFR §1.183, and issue a notice of same.

In the event the U.S. Patent and Trademark office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket No. <u>511582003500</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 29, 2006

Respectfully submitted,

James J. Mullen III, Ph.D.

Registration No.: 44,957

MORRISON & FOERSTER LLP

12531 High Bluff Drive

Suite 100

San Diego, California 92130-2040

(858) 720-7940

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		All shipments are subject to the terms contained in the UPS Tariff and Terms and Conditions of Service, which are available at UPS.com and local UPS offices.

#### MORRISON

FOERSTER

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FACSIMILE: 858.720.5125

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TOKYO, LONDON, BEIJING, SHANGHAI, HONG KONG, SINGAPORÉ, BRUSSELS

Writer's Direct Contact (858) 720-7940 jmullen@mofo.com

January 27, 2006

#### **VIA UPS**

Dr. Mary Faris 2538 Almaden Court Los Angeles, CA 90077

Re:

US Patent Application Serial No. 09/809,638

For: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN

VARIOUS CANCERS

By: Mary FARIS et al.

Our Reference: 51158-20035.00

Dear Dr. Faris:

Enclosed is a Declaration by Inventors under 37 C.F.R. § 1.131 in connection with the above-identified patent application. Please review the Declaration and if all is in order, sign and date where indicated, and return the document to us in the enclosed UPS return envelope.

This is an urgent matter. Accordingly, we would appreciate your prompt attention to the enclosed documents. I will be contacting you shortly to discuss the matter. In the meantime, if you have any questions or comments, please do not hesitate to contact us.

Best regards,

lames J. Mullen III, Ph.D.

JJM/gly1 Enclosure

UPS Tracking No.: 1Z A11 7OR 37 1000 968 6

Docket No.: 511582003500 (PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mary FARIS, et al.

Application No.: 09/809,638

Filed: March 14, 2001

For: 125P5C8: A TISSUE SPECIFIC PROTEIN

HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

Art Unit: 1643

Examiner: A. Harris

# UNDER 37 C.F.R. § 1.131

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We, the undersigned, declare as follows:

- 1. We are co-inventors of claims 1, 14 and 23, currently pending in the above-referenced application. The claims relate to an isolated 125P5C8 protein comprising the sequence of SEQ ID NO: 2 or a polynucleotide sequence encoding the codons for SEQ ID NO: 2, which is exemplified by the nucleotide sequence of SEQ ID NO:1.
- 2. The Office rejected claims 1, 14 and 23 as allegedly being anticipated by WO 200270539 A2, which was filed March 5, 2002. This PCT application claims priority to U.S. Application No. 09/799,451, which was filed March 5, 2001, and is now U.S. Patent No. 6,783,989.

:d-297560

Application No.: 09/809,638 Docket No.: 511582003500

A copy of WO 200270539 was submitted in an Information Disclosure Statement provided in this application mailed on April 5, 2001. This document discloses sequence 1397 which is identical to SEQ ID NO: 2 of the present application.

- 3. We reduced the claimed invention to practice in the United States prior to the date U.S. Patent No. 6,783,989 was filed (March 5, 2001).
- 4. This reduction to practice is evidenced by a true and accurate copy of an email message sent to various members of the scientific staff by inventor Steve C. Mitchell on January 3, 2001. The email provides the nucleic acid and amino acid sequences of the material encompassed by the claims in the above-referenced patent application. A copy of this email is provided as Exhibit A.
- 5. The nucleotide sequence disclosed in Exhibit A consists of 2,103 nucleotides and 699 amino acids.
- 6. Exhibit B shows a comparison of the nucleotide sequence of SEQ ID NO:1 and the nucleotide sequence disclosed in Exhibit A. Every single nucleotide disclosed in SEQ ID NO:1 is present in the nucleotide sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that nucleotide sequence of SEQ ID NO:1 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.
- 7. Exhibit C shows a comparison of the amino acid sequence of SEQ ID NO:2 and the amino acid sequence disclosed in Exhibit A. Every single amino acid residue disclosed in SEQ ID NO: 2 is present in the amino acid sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that amino acid sequence of SEQ ID NO:2 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.

Application No.: 09/809,638 Docket No.: 511582003500

8. In view of the email provided as Exhibit A and the analysis of the sequences disclosed therein and shown in Exhibits B and C, we declare that the invention of the pending claims was reduced to practice in the United States prior to March 5, 2001, the earliest priority date available to the cited document.

We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date	Executed at	
· · · · · · · · · · · · · · · · · · ·	(City/State)	Aya JAKOBOVITS
	(City/State)	Daniel E.H. AFAR
	(City/State)	Steve Chappell MITCHELL
	(City/State)	Pia M. CHALLITA-EID
	(City/State)	Arthur B. RAITANO
	(City/State)	Mary FARIS

#### pp, Shane

om:

Mitchell, Steve

nt:

Wednesday, January 03, 2001 1:43 PM

Scientists

biect

125P5C8 reagents.

#### eagues.

Company reagent for 125P5C8 (124P1B7/139P3A1) is pasted. It is a PCR based pCR2.1/TA clone(prostate) with three point rences from the Japanese (colon) reported hypothetical sequence. point are conserved for translation whereas the third point difference gives an amino acid change near the 3 prime end. This is

P5C8(124P1B7/139P3A1) pCR2.1 subclone
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C8(124P1B7/139P3A1) pCR2.1 subclone

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**Spell Mitchell** Scientist ado Avenue - CA 90404 10-8029 x281 20-8489 hell@urogenesys.com ADDENOS VILLOUDE

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sd-297603



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Password:

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#### **Tracking**

- → Track by Tracking Number
  - > Track by E-mail
  - > Import Tracking Numbers ≜
- → Track by Reference Number
- → Track by Freight Tracking Number
- → Track by Freight Shipment Reference
- → Track with Quantum View
- → Sign Up for Signature Tracking A
- → Void a Shipment &
- → Help

### Track by Tracking Number

#### **View Details**

Status:

Delivered

Delivered on:

01/31/2006 3:22 P.M.

Location:

FRONT DOOR

Delivered to:

LOS ANGELES, CA, US

Shipped or Billed on: 01/27/2006

**Tracking Number:** Service Type:

1Z A11 70R 37 1000 968 6

2ND DAY AIR

Weight:

1.00 Lb

#### Package Progress:

Location	Date	Local Time	Activity
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	01/31/2006	7:01 A.M.	OUT FOR DELIVERY
	01/31/2006	5:30 A.M.	ARRIVAL SCAN
VERNON, CA, US	01/31/2006	4:50 A.M.	DEPARTURE SCAN
VERNON, CA, US	01/30/2006	10:17 P.M.	ARRIVAL SCAN
SAN DIEGO, CA, US	01/30/2006	8:12 P.M.	DEPARTURE SCAN
·	01/30/2006	6:21 P.M.	ORIGIN SCAN
SAN DIEGO, CA, US	01/27/2006	5:09 P.M.	PICKUP SCAN
US	01/27/2006	8:00 P.M.	BILLING INFORMATION RECEIVED

Tracking results provided by UPS: 03/15/2006 12:21 P.M. Eastern Time (USA)

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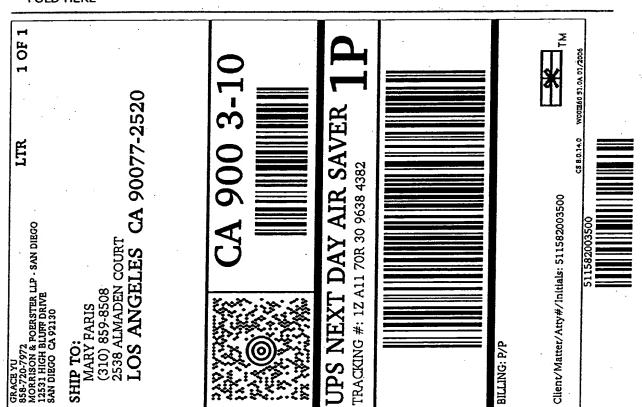
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Writer's Direct Contact

(858) 720-7940 jmullen@mofo.com

#### **VIA UPS**

January 27, 2006

Dr. Mary Faris 2538 Almaden Court Los Angeles, CA 90077

US Patent Application Serial No. 09/809,638

For: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN

VARIOUS CANCERS

By: Mary FARIS et al.

Our Reference: 51158-20035.00

Dear Dr. Faris:

Enclosed is a Declaration by Inventors under 37 C.F.R. § 1.131 in connection with the above-identified patent application. Please review the Declaration and if all is in order, sign and date where indicated, and return the document to us in the enclosed UPS return envelope.

This is an urgent matter. Accordingly, we would appreciate your prompt attention to the enclosed documents. I will be contacting you shortly to discuss the matter. In the meantime, if you have any questions or comments, please do not hesitate to contact us.

Best regards,

ames J. Mullen III, Ph.D.

JJM/gly1 Enclosure

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REMINDER

Fesend on 2/14/06

Docket No.: 511582003500

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

Mary FARIS, et al.

plication No.: 09/809,638

ed: March 14, 2001

125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

Art Unit: 1643

Examiner: A. Harris

# DECLARATION BY INVENTORS UNDER 37 C.F.R. § 1.131

Amendment missioner for Patents Box 1450 andria, VA 22313-1450

Sir:

We, the undersigned, declare as follows:

- 1. We are co-inventors of claims 1, 14 and 23, currently pending in the above-inced application. The claims relate to an isolated 125P5C8 protein comprising the sequence Q ID NO: 2 or a polynucleotide sequence encoding the codons for SEQ ID NO: 2, which is plified by the nucleotide sequence of SEQ ID NO:1.
- 2. The Office rejected claims 1, 14 and 23 as allegedly being anticipated by WO 0539 A2, which was filed March 5, 2002. This PCT application claims priority to U.S. ation No. 09/799,451, which was filed March 5, 2001, and is now U.S. Patent No. 6,783,989.

pplication No.: 09/809,638 Docket No.: 511582003500

copy of WO 200270539 was submitted in an Information Disclosure Statement provided in this oplication mailed on April 5, 2001. This document discloses sequence 1397 which is identical to EQ ID NO: 2 of the present application.

- 3. We reduced the claimed invention to practice in the United States prior to the date S. Patent No. 6,783,989 was filed (March 5, 2001).
- 4. This reduction to practice is evidenced by a true and accurate copy of an email ssage sent to various members of the scientific staff by inventor Steve C. Mitchell on January 3, 11. The email provides the nucleic acid and amino acid sequences of the material encompassed the claims in the above-referenced patent application. A copy of this email is provided as tibit A.
- The nucleotide sequence disclosed in Exhibit A consists of 2,103 nucleotides and amino acids.
- 6. Exhibit B shows a comparison of the nucleotide sequence of SEQ ID NO:1 and the cotide sequence disclosed in Exhibit A. Every single nucleotide disclosed in SEQ ID NO:1 is nt in the nucleotide sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A ly demonstrates the that nucleotide sequence of SEQ ID NO:1 was in our possession prior to rliest priority date to which WO 200270539 (the cited art) is entitled to claim.
- 7. Exhibit C shows a comparison of the amino acid sequence of SEQ ID NO:2 and the acid sequence disclosed in Exhibit A. Every single amino acid residue disclosed in SEQ ID is present in the amino acid sequence disclosed in Exhibit A. Accordingly, the email of t A clearly demonstrates the that amino acid sequence of SEQ ID NO:2 was in our possession the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.

8. In view of the email provided as Exhibit A and the analysis of the sequences is closed therein and shown in Exhibits B and C, we declare that the invention of the pending aims was reduced to practice in the United States prior to March 5, 2001, the earliest priority date railable to the cited document.

We declare that all statements made herein of our own knowledge are true and that all tements made on information and belief are believed to be true; and further, that these statements made with the knowledge that willful, false statements and the like so made are punishable by e or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that h willful false statements may jeopardize the validity of the application or any patent issued reon.

æ	Executed at	
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	(City/State)	Daniel E.H. AFAR
	(City/State)	Steve Chappell MITCHELL
	(City/State)	Pia M. CHALLITA-EID
	(City/State)	Arthur B. RAITANO
	(City/State)	Mary FARIS

Mitchell, Sleve

Wednesday, January 03, 2001 1:43 PM

Scientists

125P5C8 reagents.

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npany reagent for 125P5C8 (124P1B7/139P3A1) is pasted. It is a PCR based pCR2.1/TA clone(prostate) with three point

it are conserved for translation whereas the third point difference gives an amino acid change near the 3 prime end. This is

3(124P1B7/139P3A1) pCR2.1 subclone CCTCGCTGTGGAGAAATCCTCTTGGAGTCGCTGCTGGGATGTGTTTCTTGGTCTCTCTACCATGACCTGGGACCGATG IGGANATTGGTTAACAAGAAGTGGATGCTAACCCTGCTGAGGATAATCACTATTGGCAGCATAGCCTCCTTCCAGGCTCCA WACTICGACTGATGGTTCTTGCGCTTGGGGTGTCTTCCTCACTGATAGTGCAAGCTGTGACTTGGTGGTCGGAAGTCAT GGTACCTCAGAATTIGGGGGATTCATTTTAGGACAGATTGTTCTTGTTGTTCTACGCATATGGTATACTTCACTAAACCCAAT TTATCAGATGTCCAACAAGTGATACTGACATTAAGTGCCATAGCCACACTTGATCGTATTGGCACAGATGGTGACTGCAG GAAGAAAAGAAGACTGGTGAGGTAGCCACGGGGGATGGCCTCTAGACCCAACTGGCTGCTGGCAGGGGCTGCTTTTGGT IGGTGGGTTACAGGAACAGCTTCAGCTGCGGGGCTCCTTTACCTGCACACATGGGCAGCTGCTGTGTCTGGCTGTGTCT CTTTACTGCATCCATGTGGCCCCAAACACTTGGACACCTTATTAACTCAGGGACAAACCCTGGGAAAACCATGACCATTG

ATTITATETTCTAGAAATATTTTTCTGTGCCTGGTGCACAGCTTTTAAGTTTGTCCCAGGAGGTGTCTACGCTAGAGAAAG FIGCTITTGGGGGACAATGATGATTATCGGGCTGAATATGCTATTTGGTCCTAAGAAAAACCTTGACTTGCTTCTTCAA CAGTICTAAAGTGCTTTTCAGAAAGAGTGAAAAATACATGAAACTTTTTCTGTGGCCTGCTTGTTGGTGTGGGATTGTTGG CTACGGCATAAAGCCTATGAGAGAAAACTGGGCAAAGTGGCACCAAACCAAAGAGGTCTCTGCTGCCATCTGGCCTTTC INTATGACAATGAAGGGTGGTCTAGTCTAGAAAGATCAGCTCACCTGCTCAATGAAACAGGTGCAGATTTCATAACAATT TGATGCTTCTAAGCCCTATATGGGGAACAATGACTTAACCATGTGGCTAGGGGAAAAGTTGGGTTTCTATACAGACTTT CACAAGGTATCACACTTGGGGGATTATGGCTTTGTCAAGATACCCAATTGTGAAATCTGAGCATCACCTTCTTCCGTCA CCAGATCGCACCAGCCATCACATTGACCGTTAACATTTCGGGCAAGCTGGTGGATTTTGTCGTGACACACTTTGGGAA HTGACCTCGACAGGAAACTGCAGGCTATTGCTGTTTCAAAACTACTGAAAAGTAGCTCTAATCAAGTGATATTTCTGGG

TTCAGCACCIGGCTCCAGAGATTATCTACAGCTCACTGAACATGGCAATGTGAAGGATATCGACAGCACTGATCATGA BTGAATACATTATGTATCGAGGGCTGATCAGGTTGCGTTATGCAAGAATCTCCCATGCTGAACTGAGTGATTCAGAAAT MANATTTAGGATCCCTGATGACCCCACTAATTATAGAGACAACCAGAAAGTGGTCATAGACCACAGAGAAGTTTCTGA ITTTAATCCCAGATTIGGATCCTACAAAGAAGGACACAATTATGAAAACAACCATCATTTTCATATGAATACTCCCAAAT

4P1B7/139P3A1) pCR2.1 subclone

ESILGCVSWSLYHDLGPMIYYFPLQTLELTGLEGFSIAFLSPIFLTTTPFWKLVNXXWILTLLRIFTIGSIASFQAPNAKLRLM MOAVTWWSGSHLORYLRWGFILGONLVVLRIWYTSLNPIWSYOMSNRVILTILSALATLDRIGTDGDCSRPEEKKTGEVA ILLAGAAFGSLVFLTHWVFGEVSLVSRWAVSGHPHPGPDPNPFGGAVLLCLASGLMLPSCLWFRGTGLIWWVTGTASAA WYSGCVFAIFTASMYPOTLGHLINSGTNPGKTMTIAMIFYLLEIFFCAWCTAFKFVPGGVYARERSDYLLGTMMLIIGLNIML LOTIONSSKYLFRKSEKYMKLFLWLLYGYGLLGLGLRHKAYERKLGKVAPTKEVSAAIWPFRFGYDNEGWSSLERSAHIL ESDASKPYMGNINDLTMWLGEKLGFYTDFGPSTRYHTWGIMALSRYPTVKSEHHLLPSPEGEMPAITLTVNISGKLVDFVV DRKLOAIAVSKLLKSSSNOVIFLGYTTSAPGSRDYLOLTEHGNVKDIDSTDHDRWCEYIMYRGLIRLGYARISHAELSDSEI PTNYRDNQKVVIDHREVSEKIHFNPRFGSYKEGHNYENNIH IFHIMNTPKYFL

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pplication No.: 09/809,638

Doc. No: 51158-2003500

# Exhibit B A Comparison of SEQ ID NO.:1 and the Nucleotide Sequence of the Email

 	tgaccccact         tgaccccact			,
 ,,,,,,,,,,,	gaaaattcat          gaaaattcat			
	ccatcatttt           ccatcatttt	 111111111	£	

aac SID#1
|||
aac Email

#### Exhibit C

### A Comparison of SEQ ID NO.:2 and the Nucleotide Sequence of the Email

Cys Val Ser Trp Ser Leu Tyr His Asp Leu Gly Pro Met Ile Tyr Tyr (SID #2)

Ala Phe Leu Ser Pro Ile Phe Leu Thr Ile Thr Pro Phe Trp Lys Leu (SID #2)

Ser Ile Ala Ser Phe Gln Ala Pro Asn Ala Lys Leu Arg Leu Met Val (SID #2)

Leu Ala Leu Gly Val Ser Ser Leu Ile Val Gln Ala Val Thr Trp (SID #2)

frp Ser Gly Ser His Leu Gln Arg Tyr Leu Arg Ile Trp Gly Phe Ile (SID #2)

eu Gly Gln Ile Val Leu Val Val Leu Arg Ile Trp Tyr Thr Ser Leu (SID #2)

r Ala Ile Ala Thr Leu Asp Arg Ile Gly Thr Asp Gly Asp Cys Ser (SID #2)

Lys Pro Glu Glu Lys Lys Thr Gly Glu Val Ala Thr Gly Met Ala Ser (SID #2)'

Lys Pro Glu Glu Lys Lys Thr Gly Glu Val Ala Thr Gly Met Ala Ser (Email)

Arg Pro Asn Trp Leu Leu Ala Gly Ala Ala Phe Gly Ser Leu Val Phe (SID #2)

Leu Thr His Trp Val Phe Gly Glu Val Ser Leu Val Ser Arg Trp Ala (SID #2)

Val Ser Gly His Pro His Pro Gly Pro Asp Pro Asn Pro Phe Gly Gly (SID #2)

Val Ser Gly His Pro His Pro Gly Pro Asp Pro Asn Pro Phe Gly Gly (Email)

la Ala Gly Leu Leu Tyr Leu His Thr Trp Ala Ala Ala Val Ser Gly (SID #2)

ys Val Phe Ala Ile Phe Thr Ala Ser Met Trp Pro Gln Thr Leu Gly (SID #2)

Leu Leu Gly Thr Met Met Leu Ile Ile Gly Leu Asn Met Leu Phe Gly (SID #2) Leu Leu Gly Thr Met Met Leu Ile Ile Gly Leu Asn Met Leu Phe Gly (Email) Pro Lys Lys Asn Leu Asp Leu Leu Leu Gln Thr Lys Asn Ser Ser (SID #2) Pro Lys Lys Asn Leu Asp Leu Leu Cln Thr Lys Asn Ser Ser (Email) Lys Val Leu Phe Arg Lys Ser Glu Lys Tyr Met Lys Leu Phe Leu Trp (SID #2) Lys Val Leu Phe Arg Lys Ser Glu Lys Tyr Met Lys Leu Phe Leu Trp (Email) Leu Leu Val Gly Val Gly Leu Leu Gly Leu Gly Leu Arg His Lys Ala (SID #2) Leu Leu Val Gly Val Gly Leu Leu Gly Leu Gly Leu Arg His Lys Ala (Email) Tyr Glu Arg Lys Leu Gly Lys Val Ala Pro Thr Lys Glu Val Ser Ala Ala (SID #2) Tyr Glu Arg Lys Leu Gly Lys Val Ala Pro Thr Lys Glu Val Ser Ala Ala (Email) [le Trp Pro Phe Arg Phe Gly Tyr Asp Asn Glu Gly Trp Ser Ser (SID #2) le Trp Pro Phe Arg Phe Gly Tyr Asp Asn Glu Gly Trp Ser Ser (Email) eu Glu Arg Ser Ala His Leu Leu Asn Glu Thr Gly Ala Asp Phe Ile (SID #2) eu Glu Arg Ser Ala His Leu Leu Asn Glu Thr Gly Ala Asp Phe Ile (Email) or Ile Leu Glu Ser Asp Ala Ser Lys Pro Tyr Met Gly Asn Asn Asp (SID #2) ŀ ir Ile Leu Glu Ser Asp Ala Ser Lys Pro Tyr Met Gly Asn Asn Asp (Email) u Thr Met Trp Leu Gly Glu Lys Leu Gly Phe Tyr Thr Asp Phe Gly (SID #2) u Thr Met Trp Leu Gly Glu Lys Leu Gly Phe Tyr Thr Asp Phe Gly (Email) o Ser Thr Arg Tyr His Thr Trp Gly Ile Met Ala Leu Ser Arg Tyr (SID #2) > Ser Thr Arg Tyr His Thr Trp Gly Ile Met Ala Leu Ser Arg Tyr (Email)

) Ile Val Lys Ser Glu His His Leu Leu Pro Ser Pro Glu Gly Glu (SID #2) 

· Ile Val Lys Ser Glu His His Leu Leu Pro Ser Pro Glu Gly Glu (Email)

Ile Ala Pro Ala Ile Thr Leu Thr Val Asn Ile Ser Gly Lys Leu Val (SID #2) Ile Ala Pro Ala Ile Thr Leu Thr Val Asn Ile Ser Gly Lys Leu Val (Email) Asp Phe Val Val Thr His Phe Gly Asn His Glu Asp Asp Leu Asp Arg (SID #2) Asp Phe Val Val Thr His Phe Gly Asn His Glu Asp Asp Leu Asp Arg (Email) Lys Leu Gln Ala Ile Ala Val Ser Lys Leu Leu Lys Ser Ser Ser Asn (SID #2) Lys Leu Gln Ala Ile Ala Val Ser Lys Leu Leu Lys Ser Ser Ser Asn (Email) Gln Val Ile Phe Leu Gly Tyr Ile Thr Ser Ala Pro Gly Ser Arg Asp (SID #2) Gin Val Ile Phe Leu Gly Tyr Ile Thr Ser Ala Pro Gly Ser Arg Asp Tyr Leu Gln Leu Thr Glu His Gly Asn Val Lys Asp Ile Asp Ser Thr (SID #2) Tyr Leu Gln Leu Thr Glu His Gly Asn Val Lys Asp Ile Asp Ser Thr (Email) Asp His Asp Arg Trp Cys Glu Tyr Ile Met Tyr Arg Gly Leu Ile Arg (SID #2) 1 1 1 1 Asp His Asp Arg Trp Cys Glu Tyr Ile Met Tyr Arg Gly Leu Ile Arg (Email) eu Gly Tyr Ala Arg Ile Ser His Ala Glu Leu Ser Asp Ser Glu Ile (SID #2) eu Gly Tyr Ala Arg Ile Ser His Ala Glu Leu Ser Asp Ser Glu Ile (Email) ln Met Ala Lys Phe Arg Ile Pro Asp Asp Pro Thr Asn Tyr Arg Asp (SID #2) 1 In Met Ala Lys Phe Arg Ile Pro Asp Asp Pro Thr Asn Tyr Arg Asp (Email) n Gln Lys Val Val Ile Asp His Arg Glu Val Ser Glu Lys Ile His (SID #2) n Gln Lys Val Val Ile Asp His Arg Glu Val Ser Glu Lys Ile His (SID #2) e Asn Pro Arg Phe Gly Ser Tyr Lys Glu Gly His Asn Tyr Glu Asn (SID #2) e Asn Pro Arg Phe Gly Ser Tyr Lys Glu Gly His Asn Tyr Glu Asn (Email) His His Phe His Met Asn Thr Pro Lys Tyr Phe Leu (SID #2) 

His His Phe His Met Asn Thr Pro Lys Tyr Phe Leu (Email)





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	02/15/2006	9:05 A.M.	OUT FOR DELIVERY
	02/15/2006	9:00 A.M.	ARRIVAL SCAN
	02/15/2006	7:52 A.M.	DEPARTURE SCAN
	02/15/2006	6:05 A.M.	ARRIVAL SCAN
VERNON, CA, US	02/15/2006	5:51 A.M.	DEPARTURE SCAN
	02/15/2006	1:24 A.M.	ARRIVAL SCAN
SAN DIEGO, CA, US	02/14/2006	10:42 P.M.	DEPARTURE SCAN
	02/14/2006	9:00 P.M.	ORIGIN SCAN
	02/14/2006	5:07 P.M.	PICKUP SCAN
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#### 'u, Grace L.

rom:

Yu, Grace L.

ent:

Monday, February 06, 2006 4:53 PM

o:

'Popp, Shane' Mullen, James J.

ubject:

35.00 Declaration

i Shane,

ust wanted to let you know that Dr. Afar has executed and returned the Declaration. As for Dr. Faris, I left a voiceessage at her residence and will follow-up accordingly. I'm keep a log of my efforts in case we are unable to obtain her gnature.

nanks, race

race Yu
'atent Legal Secretary
'orrison & Foerster LLP
'2531 High Bluff Drive, Suite 100
an Diego, CA 92130
'358) 720-7972

### ſu, Grace L.

rom:

Yu, Grace L.

ent:

Tuesday, February 07, 2006 3:27 PM

o:

Mullen, James J.

iubject:

35.00 Dec

called Dr. Faris to follow-up on my message of yesterday. There was no answer, so I left a second message.

**Frace** 

Prace Yu
Patent Legal Secretary
Morrison & Foerster LLP
2531 High Bluff Drive, Suite 100
Van Diego, CA 92130
858) 720-7972

#### ∕u, Grace L

rom:

Yu, Grace L.

ent:

Monday, February 13, 2006 4:51 PM

o:

Mullen, James J.

Subject:

RE: Please call Dr. Faris

#### Vorks for me.

----Original Message----

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 4:51 PM

To:

Yu, Grace L.

Subject:

RE: Please call Dr. Faris

If you send it out tomorrow, the 14th, I want it at her door when she comes home at 6PM Wednesday. However much that costs.

----Original Message--

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 4:50 PM

To: Yu, Grace L.

Subject:

RE: Please call Dr. Faris

#### Overnight.

-----Original Message-

From:

Yu, Grace L.

Sent:

Monday, February 13, 2006 4:49 PM

To: Subject: Mullen, James J. RE: Please call Dr. Faris

I called and left another message.

You want to do the registered mail via the USPS? Or another 2 day via UPS?

----Original Message----

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 9:30 AM

To:

Yu, Grace L.

Cc:

Shane M. Popp J.D., LL.M. (spopp@agensys.com)

Subject:

FW: Please call Dr. Faris

Please call Dr. Faris again. Also, pleas send another copy of the declaration to her by certified mail. I want to file the petition to proceed without her by the end of the week, assuming she doesn't respond.

Jim

----Original Message

From:

Yu, Grace L.

Sent:

Thursday, February 09, 2006 5:44 PM

To: Subject: Mullen, James J. RE: Please call Dr. Faris

Yup. I'll make a note tomorrow.

-----Original Message-

From:

Mullen, James J.

Sent:

Thursday, February 09, 2006 5:42 PM

To:

Yu, Grace L.

Subject:

RE: Please call Dr. Faris

Thanks. Message again?

----Original M⊾ \_ge----

From:

Yu, Grace L.

Sent:

Thursday, February 09, 2006 5:41 PM

To:

Mullen, James J.

Subject:

RE: Please call Dr. Faris

Done.

----Original Message-

From:

Mullen, James J.

Sent:

Thursday, February 09, 2006 3:40 PM

To:

Yu, Grace L.

Subject:

Please call Dr. Faris

I'd like for you to call as close to your departure time as possible.

James J. Mullen III, Ph.D.

Patent Attorney

Morrison & Foerster LLP 12531 High Bluff Drive

Suite 100

San Diego, CA 92130 Direct: (858) 720-7940

Fax: (858) 523-2832 mailto:jmullen@mofo.com

# "The patent system added the fuel of interest to the fire of genius."

- Abraham Lincoln

### Yu, Grace L.

From:

Yu, Grace L.

3ent: ſo:

Wednesday, March 15, 2006 11:48 AM

Mullen, James J.

**Subject:** 

Mary Faris

FYI: I forgot to mention to you that I made a fifth and final attempt to contact Dr. Faris about the execution of the 1.131 Declaration on February 23rd, 2006.

Grace Yu Patent Legal Secretary Morrison & Foerster LLP 12531 High Bluff Drive, Suite 100 San Diego, CA 92130 (858) 720-7972



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OCTOBER 10, 2001

PTAS

GATES & COOPER LLP KAREN S. CANADY 6701 CENTER DRIVE WEST, SUITE 1050 LOS ANGELES, CA 90045



\*101805748A\*

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RECORDATION DATE: 08/06/2001

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NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FARIS, MARY

DOC DATE: 06/06/2001

ASSIGNOR:

CHALLITA-EID, PIA M.

DOC DATE: 06/08/2001

**ASSIGNOR:** 

MITCHELL, STEVE CHAPPELL

DOC DATE: 06/06/2001

ASSIGNOR:

AFAR, DANIEL E.H.

DOC DATE: 05/23/2001

RECEIVED

ASSIGNOR:

RAITANO, ARTHUR B. OCT 1970

GOWER CONTRACTOR

DOC DATE: 06/06/2001

ASSIGNOR:

JAKOBOVITS, AYA

DOC DATE: 05/31/2001

ASSIGNEE:

UROGENESYS, INC. 1701 COLORADO AVENUE SANTA MONICA, CALIFORNIA 90404

NOW

012044/0106 PAGE 2

SERIAL NUMBER: 09809638

PATENT NUMBER:

FILING DATE: 03/14/2001

ISSUE DATE:

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 08-09-2001

101805748

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Date

Total number of pages including cover sheet, attachments, and document: Four

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al name(s) of conveying party(ies) attached?  No Iture of conveyance:	
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tion Date: (1) June 6, 2001, (2) June 8, 2001, (3) June 6, 2001, plication number(s) or patent number(s): this document is being filed together with a new application, the	
tent Application No.(s)	B. Patent No.(s)
plication Serial No. 09/809,638 filed March 14, 2001 Additional numbers at	ttached? ⊠ No
me and address of party to whom correspondence concerning ent should be mailed:	6. Total number of applications and patents involved: One
	7. Total fee (37 CFR 3.41): \$40
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S. Canady	July 30, 2001

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LMUELLER 00000112 09809638

of Person Signing

#### **ASSIGNMENT**

WHEREAS, Mary Faris, Pia M. Challita-Eid, Steve Chappell Mitchell, Daniel E.H. Afar, Arthur B. Raitano, and Aya Jakobovits (hereinafter "Assignor(s)"), made certain new and useful inventions and improvements which are entitled 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS and which are disclosed in:

U.S. Application Serial No. 09/809,638, filed on March 14, 2001

AND WHEREAS, <u>UroGenesys</u>, <u>Inc.</u>, a corporation organized and existing under and by virtue of the laws of the State of <u>California</u>, and having an office and place of business at <u>1701</u>

<u>Colorado Avenue</u>, <u>Santa Monica</u>, <u>California</u> <u>90404</u> (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, Assignor(s) have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to

: : -:::

Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: 6. 6. 01	Signature: Many Faris
Date: 6/8/01	Signature: Fia M. Challita-Eid
Date: 46/2001	Signature: Mr mitmis Name: Steve Chappell Mitchell
Date:	Signature: Name: Daniel E.H. Afar
Date: 6/6/400/	Signature: Arthur B. Raitano
Date: 5 31 01	Signature: Aya Jakobovits

Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date:	Signature:
	Name: Mary Faris
Date:	Signature:
	Name: Pia M. Challita-Eid
Date:	Signature:
	Name: Steve Chappell Mitchell
Date: May 23, 2007	Signature: Daniel M
	Name: Daniel E.H. Afar
Date:	Signature:
	Name: Arthur B. Raitano
Date:	Signature:
	Name: Aya Jakobovits

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

1 5 520

Mary Faris et al.

Examiner:

Not yet assigned

Serial No.:

09/809,638

Group Art Unit:

1645

Filed:

March 14, 2001

Docket:

G&C 129.35-US-01

Title:

125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS

#### CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director – United States Patent and Trademark Office, Washington, D.C. 20231 on <u>July 30, 2001</u>.

By: T Name: Karen S. Canady

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Dear Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.

Assignment of the invention to Agensys, Inc. and a corresponding Recordation Form Cover Sheet.

PTO-2038 Credit Card Payment Form for the amount of \$40.00 for the Assignment Recordation Fee is enclosed herewith.

Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

#### **CUSTOMER NUMBER 22462.**

#### **GATES & COOPER LLP**

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: Karen S. Canady.

Reg. No.: 39,927

KSC/sjm

#### **GATES & COOPER LLP**

#### **United States Patent Application**

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS

The specification of which:				
a. is attached hereto.	·			
	14, 2001 as United States Applica _ and was amended on nt.			
•	eviewed and understand the conter amendment referred to above.	ats of the above-identified sp	ecification, including the	
•	lisclose information which is mate eral Regulations, § 1.56 (attached h	-	s application in accordance	
application(s) for patent or some country other than the	have been filed.	any PCT international applica elow and have also identified	tion which designated at least below any foreign application	
FOREIGN AP	PLICATION(S), IF ANY, CLA	LIMING PRIORITY UND	DER 35 USC § 119	
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose

OTHER FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)

DATE OF FILING

(day, month, year)

DATE OF ISSUE

(day, month, year)

APPLICATION NUMBER

COUNTRY

material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
		·

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)	

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

George H. Gates	Registration No. 33,500
Victor G. Cooper	Registration No. 39,641
Karen S. Canady	Registration No. 39,927
William J. Wood	Registration No. 42,236
Jason S. Feldmar	Registration No. 39,187
Bradley K. Lortz	Registration No. 45,472
Timothy J. Lithgow	Registration No. 36,856

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Gates & Cooper LLP to the contrary.

Please direct all correspondence in this case to the firm of Gates & Cooper LLP at the address indicated below:

GATES & COOPER LLP Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<u> </u>	<del></del>	<del>*************************************</del>	<del></del>	
(1)		Family Name	First Given Name	Second Given Name
	Of Inventor	FARIS	MARY.	
			<u> </u>	
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Los Angeles	California	U.S.A.
	Post Office	Post Office Address	City	State & Zip Code/Country
_	Address	2538 Almaden Court	Los Angeles	California 90077/U.S.A.
Sig	gnature of Invent	or(1): #\$/5		Date: 6.6-01
(2)	Full Name	Family Name	First Given Name	Second Given Name
	Of Inventor	CHALLITA-EID	PIA	M.
			·	
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Encino	California	LEBANON
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	15745 Morrison Street.	Encino	California 91436/U.S.A.
Sig	nature of Invent	or(2): 1-ie Challe	t Eil	Date:
(3)	Full Name	Family Name	First Given Name	Second Given Name
(-)	Of Inventor	MITCHELL	STEVE	CHAPPELL
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Santa Monica	California	U.S.A.
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	2417-1/2 4th Street	Santa Monica	California 90405/USA
Sig	nature of Invento	•	here	Date: 6/6/200/
		10 mo lungo		
(4)	Full Name	Family Name	First Given Name	Second Given Name
	Of Inventor	AFAR	DANIEL	E.H.
		· · · · · · · · · · · · · · · · · · ·		
	Residence	City	State or Foreign Country	Country of Citizenship
Ĺ	& Citizenship	Brisbane	California	CANADA
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	435 Vistacion Avenue	Brisbane	California 945005/U.S.A.
Signature of Inventor(4):  Date:				Date:
(5)	Full Name	Family Name	First Given Name	Second Given Name
4	Of Inventor	RAITANO	ARTHUR	B.
	Of this child	10111110	1MC11OAC	
ŀ	Residence	City	State or Foreign Country	Country of Citizenship
		Los Angeles	California	U.S.A.
H	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	10807 Cushdon Avenue	Los Angeles	California 90064/U.S.A.
Sign	nature of Invento			Date: 6/6/2001
	<del> </del>	11 4/4000		0/0/00/

	T			
(1)	1	Family Name	First Given Name	Second Given Name
	Of Inventor	FARIS	MARY	
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Los Angeles	California	U.S.A.
ı	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	2538 Almaden Court	Los Angeles	California 90077/U.S.A.
Sig	gnature of Inven	tor(1):		Date:
,	•	(-)		
(2)	Full Name	Family Name	First Given Name	Second Given Name
L (2)	Of Inventor	CHALLITA-EID	PIA	M.
	O I III VOII (OI		1	
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship		California	LEBANON
	Post Office	Post Office Address	City	_
		15745 Morrison Street.	Encino	State & Zip Code/Country California 91436/U.S.A.
	Address	<u> </u>	Encho	<del></del>
Sig	gnature of Invent	or(2):	•	Date:
(2)	E HAY	T 2 2 2	Tr C. N	
(3)		Family Name	First Given Name	Second Given Name
	Of Inventor	MITCHELL	STEVE	CHAPPELL
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Santa Monica	California	U.S.A.
	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	2417-1/2 4th Street	Santa Monica	California 90405/USA
Sig	nature of Invent			Date:
Jig	nature of invent	01(3).		
(4)	Full Name	Family Name	First Given Name	Second Given Name
\'1	Of Inventor	AFAR	DANIEL	E.H.
		1		
	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Brisbane	California	CANADA
ŀ	Post Office	Post Office Address	City	State & Zip Code/Country
J	Address	435 Vistacion Avenue	Brisbane	California 945005/U.S.A.
			<del> </del>	
Sigi	nature of Invento	Daniel /	h	Date: Man 23,200
(5)	Full Name	Family Name	First Given Name	Second Given Name
	Of Inventor	RAITANO	ARTHUR	B.
	J. Z.Z. CZROL			ļ
r	Residence	City	State or Foreign Country	Country of Citizenship
-1	& Citizenship	Los Angeles	California	U.S.A.
H	Post Office	Post Office Address	City	State & Zip Code/Country
ŀ	Address	10807 Cushdon Avenue	Los Angeles	California 90064/U.S.A.
<del>_</del>			Lastingeres	<del></del>
Sign	nature of Invento	r(4):		Date:
				L

(6)	Full Name Of Inventor	Family Name JAKOBOVIIS	First Given Name AYA	Second Given Name	
	Residence & Citizenship	City Beverly Hills	State or Foreign Country California	Country of Citizenship U.S.A.	
	Post Office Address	Post Office Address 3135 Hutton Drive	City Beverly Hills	State & Zip Code/Country California 90210/U.S.A.	
Sig	Signature of Inventor(6):  Date:  5/21/01				

#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) it refutes, or is inconsistent with, a position the applicant takes in:
    - (i) opposing an argument of unpatentability relied on by the Office, or
    - (ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) each inventor named in the application:
  - (2) each attorney or agent who prepares or prosecutes the application; and
  - (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.